

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Confirmation No. 5415

Toshihisa NAKANO et al.

: Docket No. 2004 0442A

Serial No. 10/802,927

Group Art Unit 2615

Filed March 18, 2004

RECORDING APPARATUS AND CONTENT PROTECTION SYSTEM

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of the references listed on attached form PTO-1449. A legible copy of each reference listed on the form PTO-1449 is enclosed.

la. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the aboveentitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. [] This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) [] the certification of paragraph 2 below is provided, or
- (2) [] the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.
- 1c. [] This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- It is hereby certified
  - that each item of information contained in this Information Disclosure Statement
    was first cited in any communication from a foreign patent office in a counterpart
    foreign application not more than three months prior to the filling of the Statement,
    or
  - b. [] that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.
- Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
- For each non-English language reference listed on the attached form PTO-1449, reference is made to:
  - a. [X] a full or partial English language translation (reference AL) submitted herewith,
  - b. [] a foreign patent office search report (in the English language) submitted herewith,

- c. [X] the concise explanation contained in the specification (references AK-AL) of the present application at pages 2 and 3,
- d. [X] the concise explanation set forth in the attached English language abstracts,
- e. [] the concise explanation set forth below or on a separate sheet attached to the reference:
- 5. [] A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Toshihisa NAKANO et al.

Michael S. Huppert Registration No. 40,268

Registration No. 40,268 Attorney for Applicants

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FORM PTO 1449 (modified)				TTY DOCKET NO. C2004_0442A		SERIAL NO. 10/802,927		
PAT LIST OF	REFEREN	D TRADEMARK OFFICE	E <b>JUN 1 0 700</b> 0	APPLICANT Toshihisa NAKANO et al.				
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE UST OF REFERENCES CITED BY APPULCATION (Use several sheets if necessary) Date Submitted to PTO: June 10, 2004  U.				Filing Date GROUP March 18, 2004 2615				
			U.	S. PATENT DOCUMENTS				
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLA YES	NO NO
	AL	2000-187935	7/2000	JP			Abstract	
	AK	2002-281013	9/2002	JP			Abstract	
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		OTHER	R DOCUMENT(S)	Including Author, Title, Date, Pertinen	t Pages, Etc.)			
	AO							
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